



Three Park Avenue
New York, NY
10016-5990 U.S.A.

tel 1.212.591.8500
fax 1.212.591.8501
www.asme.org

STANDARDS & CERTIFICATION

INTERNATIONAL

INFORMATION AND PROCEDURES FOR OBTAINING ASME BOILER AND PRESSURE VESSEL CERTIFICATES OF AUTHORIZATION AND CODE SYMBOL STAMPS UNDER SECTIONS I, IV, VIII DIV. 1, VIII DIV. 2, VIII DIV. 3, X AND XII

The ASME Code Symbol Stamp is used to indicate that items stamped conform to the requirements of the latest edition of the ASME Boiler and Pressure Vessel Code, Sections I, IV, VIII Div. 1, VIII Div. 2, VIII Div. 3, X or XII, as applicable. The requirement for possession of an official Code Symbol Stamp and Certificate is for the purpose of safeguarding the use of the Stamp when the Applicant certifies that the equipment is built to the requirements of the ASME Code. It is not the intention of ASME to unduly restrict the use of the Stamps, but rather to prevent unauthorized use under all circumstances.

ASME Certificates of Authorization and Code Symbol Stamps are furnished from this office only. The Certificates are issued for three years, except that H (Cast Iron) and UM Certificates are issued for one year. A UM Certificate and Stamp is issued to an Applicant only during the period that a valid U or S Certificate is held.

Review for S, A, PP, E, M, H (other than Cast Iron and/or Cast Aluminum), HLW, U, UM, U2, U3, RP and T Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the required review deposit and fees, ASME will request from the Applicant's Accredited Authorized Inspection Agency (AIA) verification of an agreement for third party inspection. The review is conducted by an ASME Designee appointed by ASME and a representative of the Applicant's Accredited Authorized Inspection Agency. See attached for the required review deposit and fees. The website contains a list of [Accredited Authorized Inspection Agencies](#) that you may contact.

H (Cast Iron and/or Cast Aluminum) Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the review deposit and fees, a review will be conducted by an ASME Designee appointed by ASME.

V, HV, UV, UV3, UD, TV and TD Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the Certificate and Stamp fee, ASME will notify the ASME Designated Organization, The [National Board of Boiler and Pressure Vessel Inspectors](#), to appoint an ASME Designee to conduct the review.

The "[Guide for ASME Review Teams for Review of Applicants for ASME Certificates of Authorization](#)" provides a checklist of the elements of a Quality Control System. The Applicant is required to indicate the corresponding cross references to the provisions in the Quality Control Manual which address these elements. The completed Guide must be provided by the Applicant to the ASME Review Team.

Additional Information

The shop review will consist of an on-site evaluation of the Applicant's Quality Control System encompassing both a review of the Applicant's written description and a demonstration of implementation of the program after which the Review Team will advise the Applicant of their recommendation to ASME. The Quality Control Manual and documentation which support understanding of the program must be in English.

For new issuance of V, HV, UV, UV3, UD, TV and TD Certificates, it is necessary that all required capacity certification tests be scheduled within ninety days from the date of the review. If the tests are not successfully completed within six months from the date of the review, a new review must be conducted. The website contains a list of [Testing Laboratories](#) Holding ASME Certificates of Acceptance for Conducting Tests of Pressure Relief Devices.

Following receipt and evaluation of the report, ASME will advise the Applicant that the Certificates and Stamps are being issued, or what additional requirements must be met prior to further consideration of the application.

For V, HV, UV, UV3, UD, TV and TD Certificates, a deposit for review is not required to be sent to ASME. The National Board of Boiler and Pressure Vessel Inspectors will advise the Applicant directly concerning the requirement for a deposit. For those Certificates, ASME does, however, require that the Certificate fees, and fee for use of each Code Symbol Stamp be submitted to ASME with the application.

Please return the completed Accreditation/Certification Agreement, review deposit and fees (fees only for Pressure Relief Device Certificates) to the address shown on [Form A9.50E](#). In addition, it is requested that the Accreditation/Certification Agreement be emailed to ca@asme.org.

The Applicant shall pay directly to the National Board of Boiler and Pressure Vessel Inspectors (for V, HV, UV, UV3, UD, TV and TD), and Authorized Inspection Agency such charges for the qualification review as those bodies require. If the Applicant withdraws their application after the remittance is received by ASME, there is an administrative fee of \$300 which will be deducted from the original remittance.

A [brochure](#) listing the various sections of the [Boiler and Pressure Vessel Code and other ASME publications](#) required for obtaining ASME Certificates has been included in this application package. Subscription to the indicated sections of the ASME Boiler and Pressure Vessel Code is required for certification to assure that Code users have the latest applicable Code rules. Effective with the issuance of the 2007 Edition of the Boiler and Pressure Vessel Code, the electronic version, purchased from a licensed reseller is acceptable, as well as the published version by ASME. The rules published in the Code are subject to revision from time to time. These revisions are published annually in addenda to the Code and become mandatory six months after the date of publication of each such addenda. Additionally, interpretations of the rules are published in supplements to the Code. These addenda and supplements are provided to subscribers, as part of their basic subscription, to assure their awareness of the latest rules, revisions and interpretations. Reproduced documents from any source are not accepted for ASME certification, as they provide no assurance of completeness or that the user will be made aware of revisions and interpretations of the applicable Code sections.

Applicants are advised that registration of data report forms may be required by the legal authority of a state or municipality of the United States or province of Canada. Information regarding such requirements is available from the applicable legal authority or the National Board of Boiler and Pressure Vessel Inspectors, 1055 Crupper Ave., Columbus, OH 43229-1108; telephone (614) 888-8320; fax (614) 847-1147, info@nationalboard.org website www.nationalboard.org. Additionally, a Synopsis of Boiler and Pressure Vessel Laws, Rules and Regulations in the United States and Canada is available from the National Board of Boiler and Pressure Vessel Inspectors.

If you have any questions, please contact the ASME Conformity Assessment Department via email ca@asme.org.

Fees and Deposits For Applications Received in **2011**

H (Cast Iron and/or Cast Aluminum) Certificates

Upon submittal of the application through [CA Connect](#) and receipt of remittance of \$11,130 (review deposit of \$10,000 plus Certificate fee of \$870 and Stamp fee of \$260), a review will be conducted by an ASME Designee appointed by ASME.

V, HV, UV, UV3, UD, TV and TD Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the Certificate fee of \$2,610 per Certificate plus \$260 for one stamp, ASME will notify the ASME Designated Organization, the National Board of Boiler and Pressure Vessel Inspectors, to appoint an ASME Designee to conduct the review. The table below does not apply for these Certificates.

ASME requires an advance deposit of \$10,000 for the conduct of the review plus the Certificate fees and Stamp fees. The fee for all Certificates, except H (Cast Iron and/or Cast Aluminum) and UM, is \$2,610 per Certificate. The Certificate fee for H (Cast Iron and/or Cast Aluminum) and UM is \$870 per Certificate. The fee for the use of each Code Symbol Stamp is \$260. The following table shows the amount to be submitted with the application:

Number of Certificates	Required Review Deposit and Fees
1*	\$12,870
2**	\$15,740
3**	\$18,350
4**	\$20,960
5**	\$23,570
6**	\$26,180

* For H (Cast Iron and/or Cast Aluminum) Certificate only, the required review deposit and fee is \$11,130.

** If any of the Certificates are UM, subtract \$1,740 from the amount listed in the table.

If your application covers Certificates at more than one location, please add an additional \$4,000 per each additional location to the review deposit.

ASME will provide a Pro Forma invoice, upon receipt of a completed application or at the request of the Applicant. It will include the amount of the deposit necessary in order to schedule the review, as well as all Certificate and Stamp fees. The advanced deposit will be applied to the cost of the review. The Applicant is responsible for all the fees and expenses incurred by the ASME Designee while en route to and from, and at the Applicant's facility, and ASME administrative fees. At the conclusion or termination of the review, an invoice will be rendered, or an Applicant may be entitled to a refund of the excess deposit. If it is preferred that the refund be done electronically, please provide banking particulars in the application in [CA Connect](#).

Fees and Deposits For Applications Received in **2012**

H (Cast Iron and/or Cast Aluminum) Certificates

Upon submittal of the application through [CA Connect](#) and receipt of remittance of \$11,170 (review deposit of \$10,000 plus Certificate fee of \$900 and Stamp fee of \$270), a review will be conducted by an ASME Designee appointed by ASME.

V, HV, UV, UV3, UD, TV and TD Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the Certificate fee of \$2,700 per Certificate plus \$270 for one stamp, ASME will notify the ASME Designated Organization, the National Board of Boiler and Pressure Vessel Inspectors, to appoint an ASME Designee to conduct the review. The table below does not apply for these Certificates.

ASME requires an advance deposit of \$10,000 for the conduct of the review plus the Certificate fees and Stamp fees. The fee for all Certificates, except H (Cast Iron and/or Cast Aluminum) and UM, is \$2,700 per Certificate. The Certificate fee for H (Cast Iron and/or Cast Aluminum) and UM is \$900 per Certificate. The fee for the use of each Code Symbol Stamp is \$270. The following table shows the amount to be submitted with the application:

Number of Certificates	Required Review Deposit and Fees
1*	\$12,970
2**	\$15,940
3**	\$18,640
4**	\$21,340
5**	\$24,040
6**	\$26,740

* For H (Cast Iron and/or Cast Aluminum) Certificate only, the required review deposit and fee is \$11,170.

** If any of the Certificates are UM, subtract \$1,800 from the amount listed in the table.

If your application covers Certificates at more than one location, please add an additional \$4,000 per each additional location to the review deposit.

ASME will provide a Pro Forma invoice, upon receipt of a completed application or at the request of the Applicant. It will include the amount of the deposit necessary in order to schedule the review, as well as all Certificate and Stamp fees. The advanced deposit will be applied to the cost of the review. The Applicant is responsible for all the fees and expenses incurred by the ASME Designee while en route to and from, and at the Applicant's facility, and ASME administrative fees. At the conclusion or termination of the review, an invoice will be rendered, or an Applicant may be entitled to a refund of the excess deposit. If it is preferred that the refund be done electronically, please provide banking particulars in the application in [CA Connect](#).



Three Park Avenue, New York, NY 10016

ASME Application for Accreditation/Certification

Company: _____
(Company name as it will appear on the Certificate)

Division: _____
(Division, department, etc., if it is to appear on the Certificate)

This application is for the following location:

Address: _____
(Give full address)

_____ City State Country Postal code

Plant New
 Field Site Renewal

If renewal, complete the following:

Current Certificate Number(s): _____

Expiration date: _____

Check the Certificate(s) being applied for:

- | | | |
|---------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| <input type="checkbox"/> *A Assembly, boilers | <input type="checkbox"/> UV Pressure vessel safety valves, manufacturer, Div. 1 & 2 | <input type="checkbox"/> UV3 Pressure vessel safety valves, assembler, Div. 3 |
| <input type="checkbox"/> *M Miniature boilers | <input type="checkbox"/> UV Pressure vessel safety valves, assembler, Div. 1 & 2 | <input type="checkbox"/> *RP Reinforced plastic pressure vessels |
| <input type="checkbox"/> *PP Pressure piping | <input type="checkbox"/> UD Pressure vessel rupture disk devices | <input type="checkbox"/> *T Transport tanks |
| <input type="checkbox"/> *S Power boilers | <input type="checkbox"/> *UM Miniature vessels | <input type="checkbox"/> TV Transport tank valves, manufacturer |
| <input type="checkbox"/> *E Electric boilers | <input type="checkbox"/> *U2 Pressure vessels, Div. 2 | <input type="checkbox"/> TV Transport tank valves, assemblers |
| <input type="checkbox"/> V Boiler safety valves, manufacturer | <input type="checkbox"/> *U3 Pressure vessels, Div. 3 | <input type="checkbox"/> TD Transport tank rupture disk devices |
| <input type="checkbox"/> V Boiler safety valves, assembler | <input type="checkbox"/> UV3 Pressure vessel safety valves, manufacturer, Div. 3 | <input type="checkbox"/> PRD Laboratory or observer |
| <input type="checkbox"/> *H Heating boilers | | |
| <input type="checkbox"/> H Heating boilers, cast iron | | |
| <input type="checkbox"/> *HLW Lined potable water heaters | | |
| <input type="checkbox"/> HV Heating boiler safety valves | | |
| <input type="checkbox"/> *U Pressure vessels, Div. 1 | | |

Stamps – ASME is switching to a Single Certification Mark. If you are renewing an existing certificate you will be asked to return your Code Symbol Stamp by no later than January 1, 2013. For detailed information about the switch to Single Certification Mark please review the [FAQ's on New ASME Mark](#) on our website www.asme.org.

Please indicate below the number of stamps of each size you would like to receive. If you currently hold stamps you may request an equal number of new certification stamps at no charge. Stamps exceeding the number you currently hold will be subject of the standard stamp fee, as will all stamps accompanying new certificate issuances

Number of Stamps Requested: 1/2" _____ 3/4" _____

----- Application must be signed on page 2 -----

*** Important:** If marked with an * you must complete the following:

The Authorized Inspection Agency performing our Code inspection is _____

Special Provisions: Please check if you wish to operate under any of the following provisions:

- UG-90(c)(2), Multiple duplicate pressure vessels
- HG-515.4(b), Multiple duplicate boilers
- RI-160, Multiple duplicate fiber reinforced plastic pressure vessels
- TS-200.7, Multiple duplicate transport tanks

Caution: Please read these paragraphs carefully to make sure you wish to operate under any of these provisions before checking a box. Applicants who incorrectly check a box may have an inappropriate Team assigned to their review, which may increase costs and/or delay the review.

Company Abbreviation: Please indicate if the company chooses to use an abbreviation on the nameplate or in the stamping.

__ Yes __ No If yes, the abbreviation will consist of _____

Note: A Certificate Holder may use either the company's full name or the above abbreviation on the nameplate or in the stamping. The Certificate Holder must list the company's full name as listed on the ASME Certificate on the ASME Manufacturer's Data Report.

Accreditation/Certification Agreement

The organization listed on the front of this Application for Accreditation/Certification (the "Applicant") performs or subcontracts the design, fabrication, production, testing, assembly, construction and/or installation of items at the address given, and makes this application to The American Society of Mechanical Engineers (ASME) for the appropriate Certificate of Accreditation, Certificate of Certification, Certificate of Acceptance, or Certificate of Authorization permitting use of the associated Certification Mark. The Applicant:

- (1) Agrees to use the Certificate and/or Stamp in accordance with the applicable ASME Code or Standard governing this application.
- (2) Agrees to return the stamp and/or Certificate anytime ASME may so request, at the time the Applicant discontinues the work covered, or at the time of expiration, if not renewed.
- (3) Accepts the conduct of announced or unannounced audits as required by the appropriate ASME accreditation/certification body. Access to the inspection site to conduct audits, reviews or surveys, including travel to and from the site, is the responsibility of the Applicant. If access is blocked or impeded, ASME is not responsible for the Applicant failing to receive, or continue to have accreditation/certification.
- (4) Agrees to indemnify and hold harmless ASME and its agents from and against any costs (including legal fees and expenses) and any direct, indirect, incidental or consequential damages (including loss of profits or business opportunity) arising out of any claim or cause of action in any way related to this Agreement or the subject matter hereof, including but not limited to claims based on contract, tort (including negligence), strict liability or breach of warranty (express or implied).
- (5) Understands that the Applicant's use of the ASME mark may be allowed provisionally by ASME in its discretion until a decision is made on an appeal of an action of an ASME subcommittee, committee or board and accepts the obligation to pay all legal fees and expenses and ASME's cost for recovering the Certificate and stamp if not returned.

Legal Company Name: _____

Signed: _____
Signature must be by a Company Officer or Designee

Title: _____

Date: _____



Three Park Avenue, New York, NY 10016

Form D

Company: _____
(Company name as it will appear on the Certificate)

Division: _____
(Division, department, etc., if it is to appear on the Certificate)

Location (provide full address):

Corporate/Mailing	Plant	Field Site
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Contact name: _____ Fax: _____

Title: _____ E-mail: _____

Telephone: _____ Company Website: _____

Jurisdictional Authority (if applicable): _____

Authorized Inspection Agency of record (if applicable): _____

Recommended hotel or motel for team (provide name, full address, and telephone numbers):

First choice	Second choice
_____	_____
_____	_____
_____	_____
_____	_____

Recommended airport (include city name): _____

Miles from airport to lodging: _____ Miles from lodging to office/plant/site: _____

Recommended airport to lodging transfer:

- ___ Taxi
- ___ Limo
- ___ Hotel provided limo
- ___ Rent car
- ___ Pickup by company

Signed: _____

Title: _____

Date: _____

Please provide the following information if Refund of advanced deposit (if applicable) is to be done electronically:
 (*Must be Provided) - *Name of Bank: _____ ABA Routing Number: _____
 *SWIFT Code: _____ *Account Number: _____
 *Account Name: _____



Three Park Avenue
New York, NY
10016-5990 U.S.A.

tel 1.212.591.8500
fax 1.212.591.8501
www.asme.org

STANDARDS & CERTIFICATION

SCHEDULING INFORMATION - NEW APPLICANTS

ASME will make every effort to conduct an evaluation of an Applicant's program at the earliest possible date after receipt of the application forms and fees. However, there is an average lead time of four months due to scheduled reviews of other companies. To minimize costs for Applicants, ASME tries to schedule a series of reviews in the same area of the world. Therefore, in order for ASME to schedule your review, please answer the following two questions. Please note that if you check as soon as possible for Question 1, or a date much earlier than four months, we will in general only be able to schedule at those times, if another company in your area cancels their date.

Question 1

What is the earliest date that you are available for a review?

As soon as possible, or

Question 2

What weeks or dates are not acceptable to you (due to Holidays, plant shutdowns, etc.) between your first available date and eight months from the date of your application?

Company _____ Signature: _____

Date: _____

Your review will be scheduled at the earliest possible date consistent with your responses and in conjunction with other companies in your area. We, therefore, expect you to accept the dates that we assign. Lack of acceptance may result in a long delay until the next series of reviews are scheduled in your area or a substantial increase in your costs if a Team must be sent to do your review alone. We cannot schedule your review until the completed application, fees and this completed form are received.

ASME Conformity Assessment Department

TO ALL APPLICANTS

Please return your completed application and fees to the address shown below:

International Funds Transfer

Remit payment in U.S. Dollars to:

JP Morgan Chase N.A.

ABA: 021000021, CHIPS Participant Number 0002 or

SWIFT: CHASUS33

Credit: The American Society of Mechanical Engineers (ASME)

Account #6101631700

Reference: Invoice #

Applicants submitting electronic funds transfers may send Applications and other related correspondence to:

ASME

Conformity Assessment Department, M/S 21W1

3 Park Avenue

New York, NY 10016-5990

Applications with checks or money orders must be mailed to:

ASME

Accreditation/Certification Processing Dept.

22 Law Drive

P.O. Box 2900

Fairfield, NJ 07007-2900

It is recommended that all applications also be emailed to ca@asme.org

Thank you,

ASME

Conformity Assessment Department